

Independent Monitoring Experts in Antitrust, Mergers & Acquisitions, and Consumer Protection

Services

- Independent Integrity Monitoring
 - Merger Conditions
 - Proactive Compliance Program Review
- Clinical Integration Assessments
- Ethics and Compliance Assessments
 - Program Reviews
 - Cultural Assessments
 - Education Programs
- Ethics and Compliance Consulting and Training

Industries Served

- Energy
- Construction
- Consumer Products
- Financial Services
- Healthcare
 - Hospitals
 - Independent Practice Associations
 - Physician-Hospital Organizations
 - Integrated Health Systems
- Health Insurers
- Life Sciences
- Manufacturing
- Technology/Software
- Telecommunications

Founded in 2004, Affiliated Monitors, Inc. ("AMI") was the first company in the U.S. to provide independent monitoring and proactive assessment services. AMI helps companies facing challenges that may put them at risk for antitrust fines, treble damages, consumer protection penalties or reputational harm. AMI has an unmatched team of professionals with substantive experience in antitrust, competition, trade regulation and consumer protection law and regulations across a wide range of federal and state government agencies. Our team members also represented companies in private antitrust litigation, and in government review of mergers and acquisitions, and cartel investigations.

When businesses enter into settlement agreements with government regulators that impose conditions on their operations, an experienced independent monitoring firm that has credibility with federal and state enforcement agencies can help these businesses successfully navigate and fulfill their obligations. Through AMI's services, monitoring can be a positive, value-added experience.

Why Organizations Engage AMI:

independence: Providing objective, third-party perspectives on antitrust and consumer protection compliance programs, internal processes and controls

integrity: Bringing integrity to the monitoring process to help companies successfully navigate their settlement obligations and strengthen their antitrust and consumer protection compliance activities

experience: Drawing on years of work in federal and state government, private practice, ethics, law enforcement, compliance and risk management

AMI's Antitrust and Consumer Protection Compliance Experts:

- Monitor conditions imposed in antitrust and consumer protection settlements.
- Conduct proactive assessments of corporate and antitrust compliance programs and ethical culture.
- Communicate clearly with interested parties and courts on findings and recommendations and offer a remedial approach to identified issues.
- Help the parties successfully navigate complex settlement agreements and conditions.

Recent AMI Antitrust and Consumer Protection Engagements

- Monitoring conditions imposed by the Federal Communications Commission in connection with a \$48.5 billion telecommunications transaction
- Monitoring complex conditions in an FTC consumer protection matter involving an international multi-level marketing health care company
- Monitoring settlement conditions imposed by a state attorney general regarding the merger and for-profit conversion of two major health systems in the northeast

Meet some of AMI's team members, who represent a variety of backgrounds and talents, and a singular commitment to the value of independent assessment and monitoring.



Dionne C. Lomax

dlomax@affiliatedmonitors.com

Managing Director, Antitrust and Trade Regulation - Boston, MA / Washington, DC

- > National expert in complex antitrust regulatory matters
- > Recognized healthcare antitrust expert in M&A and other complex transactions
- > Law Professor & Faculty, Boston University Questrom School of Business; co-faculty, Health Care Competition Seminar, Boston University School of Law
- > Former: Trial Attorney, U.S. Department of Justice Antitrust Division; Partner at two national law firms representing clients before the DOJ, FTC, and various State Attorneys General in connection with mergers, acquisitions, joint ventures and other commercial arrangements

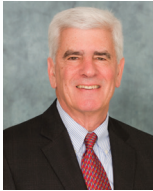


Jesse M. Caplan

jcaplan@affiliatedmonitors.com

Managing Director, Corporate Oversight - Boston MA

- > Recognized expert on organizational success through corporate integrity, ethics and compliance
- > Lead monitor of conditions imposed on hospital systems and addiction treatment facilities
- > Former: General Counsel, MA Executive Office of Health and Human Services; Chief Legal Counsel, Fallon Health; Chief, MA Attorney General's Office, Consumer Protection & Antitrust Division; Attorney General, Republic of Palau; Trial Attorney, US Dept of Justice, Antitrust Division; and 10+ years with national law firms Epstein Becker & Green and Fried Frank



Donald K. Stern

dstern@affiliatedmonitors.com

Managing Director, Corporate Monitoring and Consulting Services - Boston, MA

- > Lead monitor in compliance with judicial orders and administrative agreements
- > Member and Past President of National Association of Former US Attorneys; Past Co-Chair ABA/DOJ Liaison Committee
- > Former: US Attorney for the District of Massachusetts; Chief Legal Counsel to the Governor of Massachusetts; Assistant Attorney General, MA; and partner at three national law firms, managing complex civil, criminal, and regulatory matters



Gerald J. Coyne

gcoyne@affiliatedmonitors.com

Managing Director, State Monitoring Services - Boston, MA

- > Oversees monitoring agreements with corporations, healthcare entities and individuals
- > 35-years of experience as an attorney in both the public and private sectors
- > Collaborated with leaders in other Attorney General offices and with the National Association of Attorneys General (NAAG)
- > Former: Deputy Attorney General for the State of Rhode Island, serving an unprecedented 20 years, spanning three administrations



Mikhail Reider-Gordon, LL.M., CCEP

mgordon@affiliatedmonitors.com

Managing Director, Institutional Ethics & Integrity - Los Angeles, CA

- > A leading expert in anti-corruption, white-collar crime investigations and enforcement, including cartel activity, accountability and transparency, and institutional ethics
- > Law Professor & Faculty, Int'l Anti-Corruption Academy; Council Member & Officer, ABA-ILS
- > Former: On behalf of numerous Fortune 500 companies, developed regulatory compliance programs consistent with the Sherman Act, related US antitrust laws and EU anticompetition authority regulations



Eric R. Feldman, CFE, CIG, CCEP-I

efeldman@affiliatedmonitors.com

SVP and Managing Director, Corporate Ethics and Compliance Programs - Los Angeles, CA

- > Lead monitor in DOJ, judicial, and regulatory matters
- > Recognized expert in assessing corporate ethics and compliance/anti-fraud programs
- > Driving force behind FAR amendments mandating disclosure of contractor fraud and implementation of business ethics programs for federal contractors
- > Former: Executive with Offices of Inspector General at DOD, Defense Intelligence Agency, and CIA; Inspector General, National Reconnaissance Office