

The Independent Monitoring and Assessment Experts for the Education Sector

Serving Educational Organizations

- K-14
- Charter Schools
- Colleges & Universities
- Public, Private & For-Profit
- Medical Schools
- Research Labs
- Religious Institutions
- Trade & Technology
- International Schools
- IGO-Funded

Services

Independent Integrity and Compliance Monitoring of:

- Title IX
- ADA
- HEOA
- Financial Aid Practices
- Privacy
- Funding Sources
- Affirmative Action
- Contracts & Procurement
- Health & Safety
- Export Controls
- Lobbying
- Bond Construction Programs
- Ethics & Compliance Assessments
 - Program Evaluations
 - Cultural Reviews
 - Education Programs
- Board Training
- Problem Vendor Oversight
- Conflicts of Interest
- Athletics

Affiliated Monitors, Inc. (“AMI”) was founded in 2004 and was the first company in the United States to focus on providing independent integrity monitoring and assessment services across a wide range of regulated industries and professions. We have handled over 800 matters for U.S. and international entities, including educational organizations, government contractors, non-profits, companies of all sizes, and individual licensed professionals.

AMI assists educational organizations facing ethics and compliance challenges that may put them at risk for regulatory actions, loss of public funding, suspension, debarment, fines or reputational damage. With increasing scrutiny by regulators and taxpayer groups, many districts and education boards, as well as their legal counsel, know that a strong oversight program that helps detect fraud and waste, demonstrates accountability to stakeholders, and is ethics-based, can reduce the possibility of costly mistakes.

Organizations Engage AMI:

- **Proactively** - to determine the levels of compliance of specific programs, measure the ethical culture, identify areas of risk, and demonstrate stewardship to stakeholders
- **By Agreement** - when a deferred prosecution, non-prosecution, or settlement agreement requires ongoing integrity or compliance monitoring
- **Under a Corrective Action Plan** - for assessment of culture, programs, and internal controls to reduce risks and strengthen compliance with regulatory requirements

Benefits of Independent Monitoring

- Allowing schools and other educational organizations to continue normal operations while remediating identified problems
- Providing objective feedback and making clear, effective recommendations for improvement
- Increasing public confidence in regulated entities and public-funded institutions

Compliance Expertise

AMI has been the integrity monitor in matters ranging from large multi-national companies to solo practices. Government regulators rely on AMI’s independence; we do not represent clients or engage in other business activities that could create a conflict of interest.

Recent AMI Work on Behalf of Schools and Education Districts

- On behalf of a Massachusetts-based private research university, AMI provided a proactive assessment of compliance, safety, and other related regulations for a university laboratory.
- On behalf of the Chicago Public School District, AMI independently monitors vendors with regard to procurement, gifts and entertainment, conflicts of interest, and compliance with the CPS Code of Conduct.
- On behalf of USAID, AMI monitors an American University in a developing country, facilitating effective internal controls and promoting an ethics program and culture.
- AMI currently serves as the manager and overseer of a top-fifty U.S. university’s Conflict of Interest process related to the funding of research projects associated with a global non-profit bio-pharmaceutical partnership.

Meet some of AMI's team members, who represent a variety of backgrounds and talents, and a singular commitment to the value of independent assessment and monitoring.



Mikhail Reider-Gordon, LL.M., CCEP

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Managing Director, Institutional Ethics & Integrity – Los Angeles, CA

- > A leading expert in anti-corruption, white-collar crime investigations and enforcement, accountability and transparency, and institutional ethics
- > Led compliance assessment of \$6b bond construction program for the nation's largest community college district, identified and remediated fraud/waste/abuse, and created the district's first IG office
- > Directed fraud investigation and provided a compliance assessment for a major private Arizona-based university with multiple campuses
- > Law Professor & Faculty, Int'l Anti-Corruption Academy; Council Member & Officer, ABA-ILS



Mark Campbell, M.Ed.

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Consulting Public Finance Specialist – Sacramento, CA

- > Recognized voice in municipal securities regulation, disclosure, and compliance
- > Published author, educator, and policy advocate in state and local government financial administration
- > Former: Executive Director, CA Debt and Investment Advisory Commission, providing education, research, and policy direction to public agencies on debt financing and public funds investing



Dionne C. Lomax

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Managing Director, Antitrust and Trade Regulation – Boston, MA / Washington, DC

- > Authority in antitrust regulatory matters, with noted expertise in healthcare antitrust law in M&A, joint ventures, monopolies, and other collaborative and complex transactions
- > Faculty, Questrom School of Business, Boston University
- > Former: Trial attorney, DOJ Antitrust Division; Partner at two national law firms representing clients before the DOJ, FTC, and various state Attorneys General



Vincent L. DiCianni

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President and Founder – Boston, MA

- > Lead monitor in white collar, federal and state contracting, and licensing matters
- > Monitor for Chicago Public School District, providing independent oversight and remediation support
- > Former: Civil attorney with 28 years of experience in litigation, tort, contract, construction, employment, and civil rights law; Assistant Attorney General, Commonwealth of Massachusetts



Cynthia L. Owens

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Chief Compliance Officer – Boston, MA

- > Lead monitor in healthcare integrity and compliance matters
- > Recognized expert on subject recruitment, having served as Director of Clinical Trials for a variety of indications
- > Former work with independent clinical research sites included authoring and implementing standard operating procedures and quality assurance guidelines



Eric R. Feldman, CFE, CIG, CCEP-I

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SVP and Managing Director, Corporate Ethics and Compliance Programs – Los Angeles, CA

- > Lead monitor in DOJ, judicial, and regulatory matters
- > Driving force behind FAR amendments mandating disclosure of contractor fraud
- > Independent monitor for international NGO including assessments and oversight of their training and educational programs
- > Instructor, Master of Legal Studies in Compliance, Santa Clara Law School
- > Former: Inspector General, NRO; Executive in IG Offices of DOD, DIA, and CIA



Rodney A. Grandon

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Managing Director, Monitoring Services – Washington, DC

- > Over 25 years of government contract law experience in public and private sectors
- > Independent consultant to American University of Afghanistan focused on improving the effectiveness of AUAF's internal controls, governance structure, board oversight, and its Corporate Integrity and Compliance Program
- > Council Member, ABA Public Contract Law Section/Vice-Chair, Suspension & Debarment Cmte.
- > Former: Member, U.S. Senior Executive Service; Air Force SDO; and Vice-Chair, ISDC